# ASC-TR-96-5005

**Aeronautical Systems Center** 



# Guide to Conducting Technical Meetings, Conferences and Symposia

Prepared by Scientific and Technical Information Office (STINFO)

May 1996



19961008119

# The state of the s

#### DEPARTMENT OF THE AIR FORCE

WRIGHT LABORATORY (AFMC)
WRIGHT-PATTERSON AIR FORCE BASE OHIO

MEMORANDUM FOR: DTIC/OCP

2 Dec 96

**ATTN: Pat Mawby** 

8725 John J. Kingman, Suite 0944 Ft Belvoir, VA 22060-6218

FROM: WL/DORT

SUBJECT: ADA-315084

- 1. Pat need your help with the following previously submitted technical report [ASC-TR-96-5005]. It is a copy of our "Guide to conducting technical meetings...". Someone pointed out, unfortunately after we sent it to DTIC, that two of the subjects referred to in our text didn't match the cited appendix. Oops.
- 2. The enclosed copy fixes these errors. Could you pull the copy you have and replace I it with this corrected copy?

3. Your help with this, as always, is greatly appreciated.

JOSEPH A. BURKE

STINFO Team Coordinator Technical Information Branch

Atch

Corrected copy, ASC-TR-96-5005

# **REPORT DOCUMENTATION PAGE**

Form Approved OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

Davis Highway, Suite 1204, Arrington, VA 22202				
1. AGENCY USE ONLY (Leave blan	(nk) 2. REPORT DATE May 1996	3. REPORT TYPE AND Final	DATES CO	VERED
4. TITLE AND SUBTITLE			5. FUNDIN	G NUMBERS
GUIDE TO CONDUCTIN	G TECHNICAL MEETING	c		
CONFERENCES AND SY		S,		
6. AUTHOR(S)	· · · · · · · · · · · · · · · · · · ·			
Joseph A. Burke and David	l A. Ryans			
7. PERFORMING ORGANIZATION N	AME(S) AND ADDRESS(ES)			MING ORGANIZATION
Operations and Support Di	rectorate		KEPOKI	NUMBER
Wright Laboratory				
Air Force Materiel Comma	nd			
Wright-Patterson AFB OH	45433-7411			
9. SPONSORING/MONITORING AG	ENCY NAME(S) AND ADDRESS(ES	<b>)</b>	10. SPONS	ORING / MONITORING
Operations and Support Di	rectorate			Y REPORT NUMBER
Wright Laboratory		•	ASC-	ΓR-96-5005
Air Force Materiel Comma				·
Wright-Patterson AFB OH				
11. SUPPLEMENTARY NOTES	OORT, WPAFB OH 413-255-57	67		
12a. DISTRIBUTION / AVAILABILITY	STATEMENT		12h DISTR	IBUTION CODE
12d. DISTRIBUTION / AVAILABLETT	JIAILMENT		120. DISTR	BOTTON CODE
APPROVED FOR PUBLIC	C RELEASE; DISTRIBUTIO	ON IS UNLIMITED		
13. ABSTRACT (Maximum 200 word	<del>/e</del> )			
15. Austriact (maximum 200 voic	,			
	signed to help managers l		_	± ' I
	at pertain to sponsoring un			
	forms and letters, expected	l lead times, and off	-site just	ification, etc. The
guide applies to presente	rs as well as sponsors			•
		,		
				•
14. SUBJECT TERMS	· · · · · · · · · · · · · · · · · · ·		14	5. NUMBER OF PAGES
TT. JUDICI ILMVIJ			1 "	35
			1	6. PRICE CODE
17. SECURITY CLASSIFICATION OF REPORT	18. SECURITY CLASSIFICATION OF THIS PAGE	19. SECURITY CLASSIFIC OF ABSTRACT	ATION 2	0. LIMITATION OF ABSTRACT
UNCLASSIFIED	UNCLASSIFIED	UNCLASSIF	TED	SAR

# **FOREWORD**

The Scientific and Technical Information Office (STINFO) is responsible for providing guidance to meeting managers to assist with planning technical meetings, conferences, and symposia. STINFO also tracks all presented papers and ensures that they are submitted to the Defense Technical Information Center in Ft Belvoir, VA. This guide has been designed to help managers become familiar with the special requirements, procedures or policies that pertain to sponsoring unclassified and classified meetings. It details the required endorsements, forms and letters, expected lead times, off-site justifications, etc. The guide applies to presenters as well as sponsors.

The information provided here, IAW AFI 61-205, does not apply to:

- 1. Routine gatherings of US Government officials.\*
- 2. Meeting participants.
- 3. Schools conducted by Department of Defense (DoD).
- 4. Gatherings between Air Force personnel and foreign government representatives.\*
- 5. Program reviews.
- 6. Gatherings of DoD personnel or contractor and DoD personnel involved in a specific contract, program or project, even if formally scheduled.\*

This document is not intended to duplicate or replace existing directives or regulations, but to facilitate their implementation. Updates, corrections, deletions, additions and changes to the guide will be by the ASC/WL STINFO office. Changes will be made, as necessary, to ensure currency and also during an annual review.

**David Ryans**, ASC/WL STINFO Office, WL/DORT, is the point of contact for the guide. Mr Ryans will be glad to assist with requests for additional information or clarification:

Commercial telephone: (513) 255-5767 or DSN 785-5767 Commercial FAX: (513) 255-5383 or DSN 785-5383 E-mail address: ryansda@b045mail.wpafb.af.mil.

<sup>\*</sup> On-base facilities should be used whenever possible for these activities. Contact ASC/CCX concerning any questions about holding these gatherings off-base.

# TABLE OF CONTENTS

	Page
Foreword	i
CONTENT	ΓS
Section 1.	Meeting Practices1
	1.1 Meeting Sponsorship1
	1.2 Meeting Manager1
	1.3 Meeting Checklist1
	1.4 Data References
Section 2.	Definitions2
	2.1 Conference
	2.2 Defense Technical Information Center (DTIC)2
	2.3 Meeting Committee2
	2.4 Meeting Manager2
	2.5 Off-site Conference
	2.6 On-site Conference
	2.7 Proceedings2
	2.8 STIP
	2.9 Symposium
	2.10 Technical Meeting2
	2.11 Workshop
Section 3.	Requesting Unclassified Conference/Meeting Approval3
	3.1 Submission Dates
	3.2 Memorandum Routing Addresses3
	3.3 Points to Address in On-site Approval Request3
	3.4 Points to Address in Off-site Approval Request4
Section 4.	Requesting Limited or Classified Conference/Meeting Approval6
	4.1 Controls on Classified Conferences/Meetings6
	4.2 Submission Date6
	4.3 Memorandum Routing Addresses7
	4.4 Classified Approval Request7
Section 5.	Proceedings of Technical Conferences/Meetings9
	5.1 Responsibility to Publish9
	5.2 Format
	5.3 Submitting Proceedings to Technical Information Branch (STINFO)9
	5.4 Classified Information

Appendix A:	Checklist for Arranging for an Air Force Conference or Symposium	10
Appendix B:	Off-site Approval	10
	AF Fm 1768 [Staff Summary Sheet] Sample	
	Format/Points to address for ASC/CC Approval	
	SAF/FMBM MSG 031309Z Sep 93	
	AFMC/CV Ltr, 13 Feb 95	16
	ASC/CC Ltr, 25 Apr 95	17
Appendix C:	Format for Request to Invite Letter (Foreign Attendees)	18
Appendix D:	Sample Security Plan for Classified Meeting	19
Appendix E:	Sample AFMC Form 191, Foreign Disclosure Decision Worksheet	2

# Section 1. MEETING PRACTICES

- **1.1 Meeting Sponsorship.** ASC and Wright Laboratory organizations are encouraged to sponsor or co-sponsor technical meetings when it is in the interest of DoD to have the information issued promptly and widely, in order to exploit discoveries and to share information, innovations and inventions. Determining factors include:
- 1.1.1. Sponsorship of the meeting is necessary, serves a specific mission-related purpose and is limited to the minimum number of individuals required.
- 1.1.2. Other prescribed information dissemination channels are not cost-effective and will not accomplish the purpose of the meeting.
- 1.1.3. Adequate security measures and access control procedures have been developed and will be implemented.
- 1.1.4. Where warranted, the meeting site is under the security cognizance of a US Government agency or a US contractor having an appropriate facility security clearance.
- 1.2 Meeting Manager. A meeting/conference manager should be appointed by the sponsoring DoD organization at the beginning of the planning stages for the meeting. A security manager should also be appointed. The security manager must be a DoD employee and is responsible for all dissemination controls.
- **1.3 Meeting Checklist.** A checklist (modified version of AFI 61-205, Attachment 1, 25 Jul 94) for arranging an Air Force conference or symposium can be found in Appendix A of this guide.

#### 1.4 Data References.

Conduct of Classified Meetings
DoD Personnel Security Program
Intelligence Disclosure Policy
Presentation of DoD-Related Scientific and Technical Papers at
Meetings
Foreign Disclosure of Classified and Unclassified Military Information
to Foreign Governments and International Organizations
Managing the Processes of Printing, Duplicating and Copying
Dissemination of Scientific and Technical Information
Sponsoring or Co-Sponsoring, Conducting, and Presenting DoD-
Related Scientific Papers at Unclassified and Classified Conferences,
Symposia, and Other Similar Meetings
Budget Guidance and Procedures
Foreign Disclosure of Classified and Unclassified Military Information
to Foreign Governments and International Organizations
Management of Scientific and Technical Information
USAF-Sponsored Conferences
Approval Authority for Off-Base Conferences
Registration Fees for Air Force Sponsored Conference Meetings
DoD STINFO Manager Training Course (DTIC/TR-93-10)

# Section 2. DEFINITIONS

- **2.1 Conference.** A meeting to determine policies or where consultation, discussion or interchange of opinions or ideas occur and where discussion or debate is encouraged.
- **2.2** Defense Technical Information Center (DTIC). The focal point within DoD responsible for collecting, storing, retrieving, and disseminating information resulting from or relevant to, DoD research, development, test, evaluation, analysis, studies and acquisition management activities.
- **2.3 Meeting Committee.** Works with meeting manager in determining and assisting with all aspects of meeting preparation, implementation and conclusion.
- **2.4** Meeting Manager. Individual responsible for ensuring all aspects of a meeting are addressed. Works with meeting committee to determine time, location, theme/topics, speakers within limits of theme, special site or miscellaneous requirements (i.e., audiovisual, computers, room layout, etc.), and obtain necessary approvals.
- **2.5 Off-site Conference.** A conference or similar scientific or technical meeting that is not conducted on-base. AFMC Center Commanders must approve requests for off-site conferences.
- **2.6 On-site Conference.** A conference or similar scientific or technical meeting that is conducted on-base. In the case of Wright-Patterson AFB, the Hope Conference Center and other military installations are considered on-base locations.
- **2.7 Proceedings.** Papers and other formal information presented at a scientific or technical meeting that are printed together for ease of reference and to preserve knowledge. Need to be submitted to Defense Technical Information Center for preservation and possible dissemination.
- **2.8 STIP.** Coordinated, comprehensive **Scientific and Technical Information Programs** within DoD developed to provide for the interchange of scientific and technical information within and among DoD components and their contractors, federal agencies and their contractors, and the national and international scientific and technical community.
- **2.9 Symposium.** A meeting where several speakers or panels deliver prepared addresses on related topics or on various aspects of the same topic, followed by audience participation.
- **2.10 Technical Meeting.** A conference, seminar, symposium, exhibit, convention, or other type of formally scheduled session. Advanced Planning Briefings for Industry (APBI) are also included. It is conducted, sponsored or cosponsored by a DoD organization, DoD contractor, or a private association, institute, or society whose membership includes DoD personnel or DoD contractors. Official information is disclosed outside official DoD channels.
- **2.11** Workshop. A course or seminar emphasizing free discussion, the exchange of ideas or the demonstration of method and practical application of skills. Directed group participation, usually involving a high level of audience interaction.

# Section 3. REQUESTING UNCLASSIFIED CONFERENCE/ MEETING APPROVAL

**3.1 Submission Dates.** Request for on-site conference approval should be submitted to WL/DORT a minimum of 60 days prior to the conference date.

Request for off-site conference approval should be submitted to ASC/CC and forwarded to WL/DORT, a minimum of 60 days prior to the conference date. Note: The Hope Hotel is considered an on-site location.

**3.2 Memorandum Routing Addresses.** Memorandum routing addresses for on-site locations should be as follows:

MEMORANDUM FOR WL/DORT

ASC/SYSR (Foreign Disclosure) (Requesting Org. 2-Ltr Sym) IN TURN

- 3.3 Points to Address in On-site Approval Request.
  - 3.3.1. Name of Sponsoring Organization/Office symbol requesting approval
  - 3.3.2. Meeting Manager (Name, office symbol and telephone)
  - 3.3.3. Title of conference, symposium, etc.
- 3.3.4. **Location** (i.e., site and place -- e.g., On-line Searching in Technical Libraries Conference, Bldg 22, Conference Room S-107, Wright-Patterson AFB, OH)
  - 3.3.5. Dates (from/to...inclusive days)
- 3.3.6. Mission Essential Justification (Explain why the conference is necessary for the effective accomplishment of the organization's mission. Describe in detail the purpose of the gathering.)
  - 3.3.7. Estimated WL/ASC Costs to include:
    - (a) Travel:
    - (b) Per Diem:
    - (c) Contracts: Pertinent procurement regulations will be followed.
- (d) Other: Registration fees, etc. Per AFI 65-601, organizations holding conferences and seminars that are not authorized formal training will pay for speaker fees, honorariums, off base facilities (excluding lodging) and other related costs from their O&M type funds, rather than recover such costs through a registration fee. However, because of the exceptional emphasis placed on cooperation with industry, greater latitude beyond this general policy was authorized by SAF/FMB 18 Mar 95 ltr, "Funding for Scientific, Technical and Professional Symposiums, Conferences and Similar Meetings." Conferences, symposiums and other similar meetings may employ a contractor, through normal acquisition procedures, to handle administrative arrangements. DoD, non-DoD Federal and non-Government personnel may be charged a registration fee by the contractor to cover all costs associated with the contract, including a reasonable profit. The contractor collects all fees. The registration fee is a reimbursable expense on the travel voucher.

**Note:** Do not include printing costs. AFI 37-162 requires that printing be performed by the Government Printing Office.

- 3.3.8. **Security Classification:** Select one of the following: Classified (see page 6); Unclassified/Unlimited; Unclassified/Limited/Export Controlled.
- (a) If the meeting is **Unclassified/Unlimited** include the following statement: "All technical papers presented by DoD personnel and their contractors will be cleared for public release by local public affairs officials." A technical meeting involving the PUBLIC is subject to a security and policy review process performed by the Public Affairs Office.
- (b) If **export-controlled information** is involved, include the following statement: "All US nongovernment attendees will be registered with DLSC, Battle Creek, MI." (Use DD Fm 2345 to register with DLSC.) Export controlled information can only be presented in sessions where the recipients are eligible to receive such data, unless specific release authority has been received.
- 3.3.9. **Estimated total attendees:** Limit to the minimum number of attendees required. If the meeting is held at WPAFB, the following action needs to be stated and accomplished: "88 ABW/CC will be sent a copy of this approval request letter prior to the meeting date and advised if high ranking officials will be in attendance."
  - (a) Number of government attendees
  - (b) Number of contractor/nongovernment attendees
  - (c) Number of foreign national attendees
- 3.3.10. If foreign nationals will attend Unclassified/Unlimited meetings held at the Hope Conference Center, WPAFB, notify 88 SPS/SPOL and state the following in the request letter:
- "88 SPS/SPOL will be sent a copy of this letter prior to the meeting and notified of any foreign nationals who are expected to attend this meeting, and the company/country they represent."
- 3.3.11. If the meeting is **Unclassified/Limited** and **foreign nationals** will attend, include AFMC Form 191, "Foreign Disclosure Decision Worksheet," (See Appendix E) with your "Request for Approval" letter. Call ASC/SYSR, DSN 785-3131, for additional information on AFMC Form 191. A "Request to Invite" foreign nationals letter is required if the meeting will be held on any military installation (See Appendix C).
- 3.3.12. Estimated number of papers (WL/DORT needs to receive two copies of resultant proceedings for the assignment of a technical report number and input into DTIC and the Wright Laboratory Technical Reports Vault. A camera-ready version should be submitted if printed copies are needed. Please see page 8 for additional information concerning proceedings.)

#### 3.3.13. Signature of 2-ltr Director

#### 3.4 Points to Address in Off-site Approval Request.

All USAF sponsored conferences held off-site need prior approval. AFMC/CV delegated approval authority for off-site conferences to AFMC Center Commanders. This approval is given only to conferences that are fully justified. ASC/CC or ASC/CV approves all justification requests for holding a conference off the WPAFB installation. See Appendix B for additional background information, a sample staff summary sheet, and required concerns to be addressed.

After off-site conference approval has been received, unclassified conference requests should be forwarded to WL/DORT with the following additional information:

- 3.4.1. If the meeting is **Unclassified/Unlimited**, include the following statement: "All technical papers presented by DoD personnel and their contractors will be cleared for public release by local public affairs officials." A technical meeting involving the **PUBLIC** is subject to a security and policy review process performed by the Public Affairs Office.
- 3.4.2. If **export-controlled information** is involved, include the following statement: "All US non-government attendees will be registered with DLSC, Battle Creek, MI." (Use DD Fm 2345 to register with DLSC.) Export controlled information can only be presented in sessions where the recipients are eligible to receive such data, unless specific release authority has been received.
- 3.4.3. If the meeting is **Unclassified/Limited** and **foreign nationals** will attend, include AFMC Form 191, "Foreign Disclosure Decision Worksheet," (See Appendix E) with your "Request for Approval" letter. Call ASC/SYSR, DSN 785-3131, for additional information on AFMC Form 191. A "Request to Invite" foreign nationals letter is required if the meeting will be held on any military installation (See Appendix C).
- 3.4.4. Estimated number of papers: WL/DORT needs to receive two copies of resultant proceedings for the assignment of a technical report number and input into DTIC and the Wright Laboratory Technical Reports Vault. A camera-ready version should be submitted if printed copies are needed. Please see page 8 for additional information concerning proceedings.

# Section 4. REQUESTING LIMITED OR CLASSIFIED CONFERENCE/MEETING APPROVAL

- **4.1 Controls on Classified Conferences/Meetings.** A technical meeting or conference where CLASSIFIED scientific and technical information is disclosed is subject to a number of controls as specified in DoDD 5200.12, Conduct of Classified Meetings; AFI 61-205, Sponsoring or Co-Sponsoring, Conducting, and Presenting DoD-Related Scientific Papers at Unclassified and Classified Conferences, Symposia, and Other Similar Meetings, and other security directives. Please review these documents carefully. Among the more important points to remember are:
- 4.1.1. The conduct of a classified meeting shall be authorized by the Head of a DoD Component that has principal interest in the subject matter of the meeting. This responsibility may be delegated. However, if the meeting involves non-Government organizations or foreign participation, it shall be delegated only to a person serving in a position at or above the level of deputy assistant secretary or equivalent for the OSD.
  - 4.1.2. The meeting may only be sponsored by a DoD activity.
  - 4.1.3. The number of such meetings must be limited.
- 4.1.4. The sponsoring activity takes on the burden of security and must appoint a security manager to ensure that the provisions of all security regulations are met.
  - 4.1.5. The meeting may only be held at either a DoD site or a cleared contractor site.

#### 4.2 Submission Date.

4.2.1. Request to conduct a conference that will not be open to the public requires Secretary of the Air Force approval. Limited conferences/meetings need to be approved by the Disclosure Division, Office of the Deputy Under Secretary of the Air Force for International Affairs (SAF/IADV), 1010 AF Pentagon, Washing D.C. 20030-1010. Approval requests for classified conferences/meetings must be submitted through SAF/IADV to the Office of the Administrative Assistant to the Secretary of the Air Force (SAF/AA). Both types of approval requests should be submitted at least 6 months before the conference or meeting date.

Note: In several instances, Air Force personnel have inferred that all conferences "not open to the public" (including unclassified conferences where the information presented is "DoD and DoD Contractors only" or export-controlled) require SAF/AA approval. There is no such requirement.

4.2.2. ASC/CC approval to hold a classified meeting/conference off-site must be obtained prior to the submitting the request to SAF/IADV and SAF/AA. A copy of the approval should be forwarded to WL/DORT with the SAF/IADV and SAF/AA request. Note: The Hope Hotel is considered an on-site location.

# 4.3 Memorandum Routing Addresses.

4.3.1. Memorandum routing addresses for approval for **limited** conferences should be as follows:

MEMORANDUM FOR WL/DORT

or MEMORANDUM FOR WL/DORT

ASC/SYSR ASC/SYSR WL/DOR ASC/2-Ltr WL/DO SAF/IADV

SAF/IADV (Requesting ASC Org 2-Ltr)

(Requesting WL Org 2-Ltr) IN TURN

IN TURN

4.3.2. Classified conferences should be routed as follows:

MEMORANDUM FOR WL/DORT

r MEMORANDUM FOR WL/DORT

ASC/SYSR ASC/SYSR WL/DOR ASC/2-Ltr WL/DOY SAF/IADV WL/DO SAF/AA

SAF/IADV (Requesting ASC Org 2-Ltr)

SAF/AA IN TURN

(Requesting WL Org 2-Ltr)

IN TURN

- **4.4 Classified Approval Request.** Address the points indicated previously in the **on-site** (para 3.3) or **off-site** (para 3.4) approval request and the following:
  - 4.4.1. Security Manager's name, office symbol and telephone number
  - 4.4.2. Scope of classified topics and maximum authorized classification level
- 4.4.3. Draft of the proposed announcement or invitation to be sent to prospective attendees or participants
  - 4.4.4. Certification Statement
  - 4.4.5 A Security Plan (See Appendix D for sample)
- 4.4.6. **Justification for Specific Exclusion of Foreign Nationals** (Include a description of any sensitive information to be presented upon which the exclusion is based, if applicable. Use AFMC Fm 191 -- see Appendix E for sample)
- 4.4.7. If Foreign Representatives Will Participate in the Conference or Symposium, need Request of Invite letter (Appendix C) and AFMC Fm 191 (Appendix E). In addition to being reviewed by ASC/SYSR, copies of the request must be forwarded to:
- Director, Counter Intelligence and Security Programs Directorate: ODASD (I&S), OASD (C3I), 6000 Defense, Washington D.C. 20310-6000
- Office of Information Security: HQ USAF/SPI, 1340 AF Pentagon, Washington D.C. 20330-1340

- Director of the National Security Agency: Chief/Central Security Services, Attn: Exec Reg, 9800 Savage Rd, Ft George Meade, MD 20755-6000 if the conference or symposium involves special intelligence, IAW DoDD C-5230.23, **Intelligence Disclosure Policy**.

# Section 5. PROCEEDINGS OF TECHNICAL CONFERENCES AND MEETINGS

- **5.1 Responsibility to Publish.** The papers presented at technical meetings are considered STI publications, and it is the responsibility of the sponsor to publish proceedings of an Air Forcesponsored meeting, conference or symposium as a technical report.
- **5.2 Format.** These proceedings may be published in any convenient format (for example, a single volume, individual volumes by technical discipline, or individual papers). If the proceedings consist primarily of vu-graphs, presenters must provide explanatory notes for publication and number pages.
- **5.3** Submitting Proceedings to Technical Information Branch (STINFO). Proceedings must be submitted to the STINFO office (WL/DORT). They will assist with the publication of the proceedings and will ensure that the Defense Technical Information Center receives their required copy. The following items need to be submitted with the proceedings:
  - 5.3.1. Request for Editing and Publishing Support
  - 5.3.2. SF Form 298 Report Documentation Page
  - 5.3.3. Signed Notice Page
  - 5.3.4. Mailing labels for distribution
  - 5.3.5. Public Release case number and date of public release approval
- **5.4 Classified Information.** When possible and meaningful, publish all **classified information** in a document separate from general proceedings. Before publication and release, the sponsor must: review the text to make sure it is releasable and bears appropriate security markings, check that recipients have proper facility clearances/storage capability and personal clearance, and, obtain foreign disclosure approval and instructions on releasing the proceedings to foreign nationals.

# Appendix A: CHECKLIST FOR ARRANGING AN AIR FORCE CONFERENCE OR SYMPOSIUM

# [Adapted from AFI 61-205, Attachment 1, 25 July 1994]

Steps 1, 2, 7, 8, and 9 tell how to perform the security review and clearance of papers to be presented at conferences, symposia, and seminars with DoD or Air Force sponsorship or participation, including those hosted by industry and professional and technical associations and attended by foreign representatives. These procedures pertain primarily to classified information, unclassified information related to classified programs or projects, or controlled unclassified information. At the outset, the meeting sponsor assigns responsibilities by placing checks opposite the duty or action in one of the two squares in the right-hand columns.

NO.	DUTY OR ACTION	PERFOR	MED BY
		MEETING SPONSOR	SUPPORT PROVIDER
1	Establish the responsibilities of the host facility, the meeting manager, and the security manager. Obtain written statement from the host facility allowing use of the facility.		
2	Submit requests for SAF/AA approval through SAF/IADV 6 months before the meeting.		
3	Request on-site approval from 2 ltr; off-site approval from ASC/CC.		
4	Develop agenda, select speakers and session chairpersons.		
5	Contact /confirm in writing speakers and session chairpersons.		
6	Consult with financial manager; prepare cost estimate and all necessary AF Form 9's; submit DFAS certified Form 9 to Contracting	4 - 4 - 4 - 4 - 4 - 4 - 4 - 4 - 4 - 4 -	
7	4 months before the meeting, make invitations for papers. Include disclosure guidelines, information on anticipated foreign attendance, and instructions on obtaining disclosure authorization.		
8	3 months before the meeting, get foreign disclosure approval.		
9	Establish milestones.		
10	Select classification level.		
11	Prepare information for brochure, including a description of the briefing and the agenda, registration and lodging information, and addresses/phone numbers where more information may be obtained.		
12	Prepare and distribute press release.		
13	Prepare and publish Commerce Business Daily announcement.		
14	Select and arrange exhibits.		<del> </del>
15	Identify special invitees.		
16	Provide copies of the brochure to the host.		
17	Print and mail brochure to mailing list and to requesters.	:	
18	Receive mail requests for brochure.		
19	Set up and operate a phone hot line.		
20	Negotiate room rates and audio-visual requirements with hotel(s). This		
~~	includes meeting rooms when the briefing is not on a Government rate.		
21	Make arrangements for the auditorium, including audio-visual requirements.	<del></del>	*****
22	Arrange protocol support if attendees will include distinguished personnel.	·	
23	Arrange meals, coffee breaks, receptions.		
24	Receive registration forms from registrants, maintain up-to-date roster,		
	provide weekly copy of roster to the host.		
25	Review and approve need-to-know levels of attendees.		

NO.	DUTY OR ACTION	PERFORMED BY		
		MEETING SPONSOR	SUPPORT PROVIDER	
26	Review certification of attendees if export controlled information will be released. Attendees must provide a certified DD Form 2345 or be in the Certified Contractor Access List.		·	
27	Arrange transportation from hotel to briefing site.			
28	Verify security clearances.			
29	Conduct rehearsals.			
30	Collect copies of briefing material and hand-outs, make sufficient copies for attendees.			
31	Collect material from speakers for inclusion in proceedings.			
32	Check out audio-visual equipment.			
33	Arrange for tables, chairs, signs for registration.			
34	Set up furniture 12 hours before briefing.			
35	Obtain on-site computer for registration.			
36	Arrange for phones for use by attendees.			
37	Arrange for message board at the briefing.			
38	Provide copies of registration lists at registration.			
39	Arrange for guards and secure storage of classified documents (classified briefings).			
40	Provide supplies for registration:  Pens  UV ink pad and stamp  UV light  Message pads  —————			
41	Provide persons to assist with registration:  Sponsor Support Provider Host			
42	Arrange for escorts for distinguished guests.			
43	Arrange for flags (American, Air Force, and General Officer).			
44	Arrange for parking.			
45	Prepare name signs for panel discussions.			
46	Print and duplicate up-to-date roster for and including all attendees.			
47	Make arrangements for room for authors to review papers.			
48	Prepare after-action report.			
49	Prepare receiving reports for all purchase orders.			
50	Review papers for security and prepare proceedings.		T	
51	Forward proceedings to STINFO office to send to DTIC			

Appendix B: SAMPLE OFF-SITE STAFF SUMMARY SHEET AND JUSTIFICATION

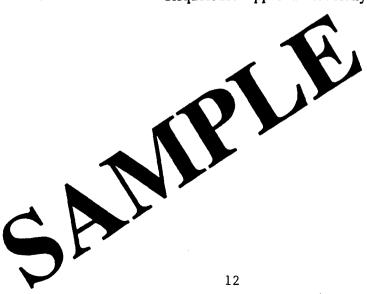
					STAFF	SUMM	ARY SHEE	T			
	то	ACTION	SIGNATURE (S	<i>urname),</i> G	RADE AND I	DATE	то	ACTION	SIGNA	TURE <i>(Sumame)</i> , GRAD	E AND DATE
1	WL/DO	Coord									
2	WL/CD	Coord				7					
3	WL/CC	Sign				8					
4	ASC/CCX	Coord				9					
5	ASC/CC	Approve/ Sign				10					
SUI	RNAME OF ACT	TON OFFICER A	AND GRADE	SY	MBOL		PHONE		TYPISTS	SUSPENSE DATE	
D	OEJOHN,	GS-14		W	L/WXY	Z	51234		JAB		
SUE	BUECT									DATE	

REQUEST FOR APPROVAL AUTHORITY FOR OFF-BASE CONFERENCE

#### SUMMARY

- 1. Request approval for the XXXXXX Directorate of Wright Laboratory to hold the 199X Avionics and Flight Industrial Requirements Conference on 5-9 Nov 9X off-site at the Dayton Conference Center.
- 2. The mission purpose of this meeting is to provide the widest dissemination of acquisitions-related information available to the industrial sector and respond to specific information requirements. This joint services initiative will offer a platform for government planners, technologists and developers to brief their industry counterparts on the long-term and short-term needs/opportunities in avionics.
- 3. The Dayton Convention Center has been chosen as the site for this conference because of its proximity to the directorates located at Wright-Patterson AFB tasked with information dissemination to industry. The Convention Center has the necessary facilities to host a major conference of this size, including a suitably large area for displays and banquet rooms for dining. There are no facilities available on Wright-Patterson that can meet these requirements.
- 4. RECOMMENDATION. ASC/CC approve attached request for an off-base conference site.

1 Tab
Request for Approval Authority for Off-base Conference



# DEPARTMENT OF THE AIR FORCE



HEADQUARTERS AERONAUTICAL SYSTEMS CENTER (AFMC)
WRIGHT-PATTERSON AIR FORCE BASE, OHIO

{Date}

MEMORANDUM FOR ASC/CC

FROM: ASC/{2-Letter}

SUBJECT: Request Approval Authority for Off-Base Conference

- 1. The {name of the 2-Letter organization (i.e., Wright Laboratory Materials Directorate)} requests approval to hold an off-base conference at {Location (i.e., site and place e.g., Dayton Convention Center, Dayton, OH)} from {inclusive dates} for, or in support of {state name of conference here}. We have exhausted all possibilities of holding our conference on WPAFB or another Government facility, and the following justification is provided IAW ASC/CC, 25 Apr 95, memo/guidance.
- a. <u>Mission Essential</u>: {Consider whether the conference is necessary for the effective accomplishment of the organizations mission (i.e., provide mission essential rationale), and describe, in detail, the purpose of the meeting/conference.}
  - b. Cost Comparison: {Evaluate all cost differences of the prospective sites.}
  - c. Conference Information: {Provide specific conference related information here.}
    - (1) Sponsoring and Cosponsoring Organization: {Organization FAS}
    - (2) Security Classification: {Unclassified/Limited/etc.}
    - (3) Facility Classification: {Unclassified etc.}
    - (4) Estimated total attendees: {limit to the minimum number of attendees required}
      - (a) Number of government attendees:
      - (b) Number of contractor attendees:
      - (c) Number of foreign nationals:
    - (5) Total man-hours to be extended:
    - (6) POC: {Name and phone number}
- d. Facility Adequacy: {Provide rationale explaining why the conference/meeting can not be held on WPAFB or other Government facility (i.e., describe inadequacy and/or nonavailability of base facilities). Also, state the overriding factors considered in choosing the particular facility for the conference (e.g., need large screen barco projector capability).}

{Attachments if necessary}	{Letter Signature Block}
1st Ind, ASC/CC	{Date}
TO: ASC/{2-letter}	
Approved/disapproved	

{ASC/CC Signature Block}

2. [Use this paragraph to communicate any other factors you would like to bring to the attention of ASC/CC].

INR TOUUU t 081830Z DEC 94 M SAY WASHINGTON DC//FMEH// M AIG 10612 \IG 10610 INFO RUBAHQA/SAF WASHINGTON DC//AQX/AQC/LLP/PAR/GCA// RUEAHQA/HQ USAF WASHINGTON DC//LGS/LGX/LGX/LGT// 31 INCLAS SUBJECT: APPROVAL AUTHORITY FOR OFF-BASE CONFERENCES (SAF/FMEM MEG 031309E SEP 93) SECRETARY OF THE AIR FORCE MEMORANDUM 22 NOV 94, GOVERNMENT FISCAL RESPONSIBILITY AND REDUCING PERQUISITES, STATES THE FOLLOWING CRITERIA FOR ALL USAF SPONSORED CONFERENCES: (A) CONSIDER WHETHER THE CONFERENCE IS NECESSARY FOR THE EFFECTIVE ACCOMPLISHMENT OF THE ORGANIZATION'S MISSION, (B) EVALUATE THE COST DIFFERENCES OF PROSPECTIVE SITES, AND (C) LIMIT ATTENDANCE TO THE MINIMUM NUMBER OF INDIVIDUALS REQUIRED. 2. THE SECRETARY HAS MODIFIED THE 28 MAY 93 DIRECTION ON APPROVAL AUTHORITIES FOR OFF-BASE CONFERENCES. CONFERENCE APPROVAL AUTHORITY FOR SECAF IS THE ADMINISTRATIVE ASSISTANT TO THE SECRETARY.

PAGE 02 RUEAHQA9687 UNCLAS

FOR THE AIR STAFF AND NATIONAL CAPITAL REGION, IT WILL BE THE

ASSISTANT VICE CHIEF OF STAFF. FOR ALL MAJCOMS, THE VICE COMMANDER

MAY DELEGATE APPROVAL AUTHORITY TO MAJCOM DIRECTORS AND WING

COMMANDERS. ALL FOR APPROVAL AUTHORITY OVERSIGHT WILL REST IN THE HQ

USAF TWO LETTER (E.G. DCS) HAVING OVERSIGHT OF THEIR ACTIVITY. FOR

THE AIR FORCE ACADEMY THE SUPERINTENDANT AND FOR AFOTEC THE COMMANDER

WILL BE THE APPROVAL AUTHORITIES.

3. DOCUMENTATION OF COMPLIANCE WITH THIS DIRECTION SHOULD BE

MAINTAINED FOR INSPECTION BY INSPECTORS GENERAL OR OTHER INTERESTED

PARTIES. POC IS JOE FARRELL, SAF/FMEMD, DSN 227-8250 OR 6371 (VOICE)

AND DSN 223-7294 (FAX).



# DEPARTMENT OF THE AIR FORCE

HEADQUARTERS AIR FORCE MATERIEL COMMAND WRIGHT-PATTERSON AIR FORCE BASE, OHIO

13 FEB 1995

MEMORANDUM FOR ALAFMC/CC RL/CC 377ABW/CC

FROM: AFMC/CV

4375 Chidlaw Road, Suite 1

Wright-Patterson AFB OH 45433-5001

SUBJECT: Approval Authority for Off-Base Conferences (SAF/FMBM Msg, 081830Z Dec \$4)

- 1. The Secretary of the Air Force has delegated approval authority for off-base conferences for Air Force Materiel Command to the vice commander. The attached message authorizes the vice commanders to delegate approval authority for off-base conferences to MAJCOM directors and wing commanders (attached).
- 2. Effective immediately, approval authority is delegated to all AFMC Center Commanders and headquarters directors. Approval authority is also delegated to the 377th Air Base Wing Commander at Kirtland AFB and to the Rome Laboratory Commander at Rome Laboratory. Commanders and directors should strictly limit off-base conferences and grant approval authority only in those instances fully justified under the criteria in paragraph 1 of the attached.
- 3. Point of contact is Ms. Gloria Pamplin, HQ AFMC/FMBM, DSN 787-6188.

Lieutenant General, USAF

Vice Commander

Attachment: SAF/FMBM Msg, 081830Z Dec 94

# DEPARTMENT OF THE AIR FORCE



HEADQUARTERS AERONAUTICAL SYSTEMS CENTER (AFMC) WRIGHT-PATTERSON AIR FORCE BASE, OHIO

25 Apr 95

**MEMORANDUM FOR 2A** 

FROM: ASC/CC

SUBJECT: Approval Authority for Off-Base Conferences

- 1. AFMC/CV delegated approval authority for off-base conferences to AFMC Center Commanders and recommended limiting off-base conferences to only those which are fully justified (see attachment 1). I fully endorse this guidance. Either the Vice Commander or I will approve all ASC requests for off-base conferences. This memo provides additional guidance for you to follow if you are considering holding a conference off of the WPAFB installation. This guidance does not apply for meetings at your contractor facilities.
- 2. I recognize the importance of military and civilian personnel attending meetings and conferences at government expense when it is part of an authorized training program or it directly relates to the official duties of the attendee. However, it is imperative that we are cautious in planning and scheduling conferences away from the WPAFB installation.
- 3. I expect all organizations that sponsor a meeting or conference to comply with the guidance provided in AFI 65-601, Volume 1, para 4.42, pg 39, 21 Oct 94, which provides instruction for use of registration fees for meetings and conferences. In addition, the Secretary of the Air Force memorandum, 22 Nov 94, describes three criteria which must be met for all USAF-sponsored conferences. Include, within your justification, your rationale for why your conference cannot be held on WPAFB or other government facilities (see attachment 2). You must make an objective effort to match your requirement with the facilities on base.
- 4. If you have any further questions, please contact my Commander's Action Office at 53118.

Lieutenant General, USAF

Commander

- 2 Attachments:
- 1. AFMC/CV Memo, 13 Feb 95 w/attachment
- 2. Example format/memo

# Appendix C: Format for Request to Invite Letter (Foreign Attendees)

MEMORANDUM FOR ASC/ (Foreign Disclosure Office)
FROM: (originator)
SUBJECT: Request to Invite
1. We request your approval to invite foreign nationals to the following meeting:
a. Title of Meeting:
b. Meeting Location:
c. Date of Meeting:
d. Purpose:
e. Air Force Sponsor: (name/org/phone)
f. Security Manager: (name/org/phone)
g. Host Point of Contact: (name/org/phone)
h. Classification Level: Authority: (does not apply to uncl/unltd info) (If unclassified, state whether unlimited or limited and/or export controlled. If classified, cite the specific guidelines authorizing release of the information.)
I. Foreign Attendees:
2, Questions may be referred to the undersigned at extension
SIGNATURE
Attachments:
(Please refer any questions on the above sample correspondence to the ASC Foreign Disclosure Office, DSN 785-3131, FAX DSN 986-7542/Commercial FAX

(use letterhead)

Date

(513) 476-7542.)

# Appendix D: Sample Security Plan for Classified Meeting

Security Plan for TITLE OF MEETING DATE(S)

LOCATION CITY, STATE

This security plan addresses conference physical security, access control, the administration and verification of security clearances, and control of classified documents. This plan complies with Air Force Instruction (AFI) 31-401 dated 22 July 1994 and DoD 5220.22-M, National Industrial Security Program dated January 1995. The highest level of classified information to be presented at this conference is (CLASSIFICATION LEVEL). The (i.e., Aeronautical Systems Center, Wright Laboratory, etc.) is the Government Sponsor for the conference, with security management responsibilities assigned to (NAME, TITLE OF OFFICE).

# APPROVAL OF SECURITY PLAN

This Security Plan has been reviewed and found to meet all of the security requirements for holding the (TITLE OF MEETING).

Approved By:

**2LTR SIGNATURE** 

GOVERNMENT SECURITY OFFICE SIGNATURE

## PART A

### PHYSICAL SECURITY

This physical security plan will be used to brief and be followed by all security personnel and conference attendees.

- 1. The responsibility for the administrative coordination and maintenance of physical security for the classified portion of the symposium at the (FACILITY), is assigned to the (OFFICE TITLE AND SYMBOL).
- 2. The (i.e. Aeronautical Systems Center (ASC), Wright Laboratory (WL) etc.), will designate a government employee as Conference Security Manager (CSM) to plan and administer all security requirements for the conference. The CSM will be assisted by a support contractor and the (MEETING LOCATION) Office in the administration of all security requirements for the conference. The support contractor has arranged for guards to maintain physical security for the symposium. The guards responsibilities are as follows:
- a. Control access into meeting area(s) by use of black lights/stamps. Any questions resolved by CSM.
- b. Assist the CSM in inspecting the conference area before and after each session. This will include perimeter doors for secureness, no papers, etc. are left in the area, all classified is secure.
- c. Ensure that photographic equipment, audio/visual recording devices, briefcases, phones, beepers, firearms, etc. do not enter the meeting areas.
  - d. Assist the CSM with other access related requirements as needed.
- 3. The support contractor will provide the CSM with a list of security personnel selected for guard duty during the (TITLE OF MEETING). All selectees will have a DoD (LEVEL OF CLEARANCE) that is current within 15 years. Throughout the symposium, the guards assigned will remain under the direction and control of the CSM.
- 4. Security guards under the direct control of the CSM will control the meeting room. Before the start of the classified sessions of the conference, a physical search of the conference area will be conducted. Use of amplified sound in the meeting room will meet (**MEETING LOCATION**) requirements. The CSM will review security procedures with the conferees prior to the start of the day's presentations.
- 5. During the symposium, the meeting room will be secured. Guards will be stationed at the entrances and only cleared personnel or personnel under escort by the CSM and his/her designed representative will have access to the meeting

room. The guards' sole function is to prevent unauthorized physical and aural access to the conference facility. Therefore, all classified discussions are limited to the meeting room and will not be permitted in the hallway.

- 6. The rear access door will be used only for emergency egress from the conference area. If required, additional guards will be assigned for security duty contingent upon the fluidity of the situation and associated requirements. All guards will be in either visual or cellular phone contact at all times. Phones will not be used during classified sessions, except, in an emergency.
- 7. Telephonic coordination will be conducted between the CSM, the support contractor, and the (**MEETING LOCATION**) to define and clarify the security responsibilities of all agencies involved. Subsequent coordination will be conducted as necessary. A final security briefing will be given by the CSM to all concerned personnel at (**TIME, DATE, LOCATION**).
- 8. Access to the classified conference area by uncleared personnel, such as repairmen, will be coordinated through the CSM. Uncleared personnel requiring access will be escorted to the appropriate entrance and turned over to a cleared guard force member, who will be responsible for, and escort the uncleared personnel while they are inside the facility. No classified presentations will be made during this period.
- 9. Telephones for general use are in the lobby area outside the secured area. A message board and information desk will be set up in the lobby area to handle incoming messages for attendees.
- 10. The CSM or his/her designated security representative will inspect the conference area before and after each session. This inspection will include the following: checking all perimeter entrances/ exits for secureness, making sure that all classified documents/viewgraphs/etc., have been removed or secured, and checking general surroundings for unattended items, e.g., glasses, coats, etc.
- 11. The chairperson for each session will introduce and announce the classification of each session. An announcement will be made at the beginning of the conference and beginning of each session that no classified discussion will take place outside the secure meeting room and that note taking is NOT permitted during the symposium.
- 12. Notebooks/pads, photographic equipment, audio/visual recording devices, briefcases, beepers, portable phones, and firearms will be prohibited in the classified conference area. Briefcases will be stored in a security area and will not be taken into the meeting room. A security awareness briefing will be conducted at the beginning of the classified conference. Requests for documentary materials containing classified or export controlled unclassified information must be submitted through established document request channels and will not be honored on-site.

13. Security personnel will be positioned at the entrances to the conference facility to check that attendees are 1) wearing badges, 2) that the proper hand stamps are used for that day of the conference, and 3) no electronic equipment is being introduced to the meeting room.

### PART B

# <u>ADMINISTRATION AND VALIDATION OF SECURITY CLEARANCES</u>

- 1. The CSM or his/her appointed security representative is the designated clearance and reporting and verification agency for the classified (TITLE OF MEETING).
- 2. All personnel attending the (TITLE OF MEETING) must be certified as having a minimum (CLEARANCE LEVEL) DoD clearance, and a need-to-know.
- a. Domestic U.S. Government contractors registering for (TITLE OF MEETING) attendance must submit security clearance forms (ATTACH SAMPLE) signed by their respective company/organization security officer and with government official certified need-to-know.
- b. The support contractor will collect and verify all personnel security clearances and consolidate them for review and approval by the CSM prior to the conference.
- c. Attendees affiliated with the U.S. Government or a DoD component must submit clearance information to include need-to-know certification by their supervisors and security managers using the same form as 2.a., above. Data will be merged into the master listing of cleared attendees.
- d. All security clearance forms must be returned to the support contractor five weeks prior to the symposium. No security clearances will be accepted after this date. If the clearance is not submitted and received, attendance at the symposium is denied.
- 3. The CSM is responsible for approving cleared DoD contractor personnel wishing to attend the conference. The support contractor will develop a list of approved U.S. Government, military, and DoD contractor attendees from U.S. Government, DoD, and U.S. industrial organizations. This will be submitted to the CSM for review and approval prior to the conference.
- 4. To attend the classified symposium, each attendee must present a current and valid means of photographic identification, i.e., driver's license, government or company identification card, or passport. The CSM or his/her representative (registrar) will verify the individual's name on the master listing of cleared attendees and compare the individual to the photographic identification.

# PART C

# BADGE ISSUE

The following procedures are to be used for registration and badge issuance at the (TITLE OF MEETING):

- 1. Registration Sites:
  - a. Early registration on (DATE AND LOCATION).
- (1) Five registration tables with a registrar, who will be responsible for each participant in their respective alpha listings.
- (2) Unregistered persons and those attendees who do not have a security clearance on file will be referred to the CSM for appropriate action.
- b. Late registration at symposium site beginning at (TIME, DATE AND LOCATION):
- (1) Five registration tables with a registrar, who will be responsible for each participant in their respective alpha listings.
- (2) Unregistered persons and those attendees who do not have a security clearance will be referred to the CSM for appropriate action. Attendance may be denied pursuant to Section B paragraph 2.d.

# 2. Registration:

- a. Five registrars will be available to process participants alphabetically divided as follows: A-C, D-H, I-N, O-S, AND T-Z.
- b. Each participant registered for the symposium will report to the registrar responsible for the alpha group identified by the first letter of the last name.
- c. The registrar will verify the participant's identification by comparing the full name from some form of valid positive photographic identification card (i.e., driver's license, passport, government, military, or company identification card, etc.) with the name on the security roster.
- d. After proper identification has been made, the participant will be required to sign for his badge on the security roster, and will be issued their badges as a result of this verification process.
- e. A badge will not be issued if the name is not on the security roster unless directed by the CSM.

f. The participant is responsible for protection of the badge while in his/her possession. Each day different design hand stamps will be used.

# 3. Discrepancy:

- a. If a discrepancy is identified during the badge issue, notify the CSM for appropriate action.
  - b. Delay the participant while the problem is being worked.
  - c. Continue issuing badges to other participants.

# 4. Lost Badges:

- a. If a badge is reported lost, be sure the following steps are taken:
- (1) Get all the information possible (i.e., full name, number, late registrant or not, etc.)
- (2) Notify the CSM. The CSM will advise the security guard on duty that the badge has been lost.
- (3) Notify guards at all conference entry points to be alert for unauthorized use of the lost badge.
  - (4) A new badge will be issued only if the CSM approves.
- (5) The CSM will annotate the master security roster that the badge was lost.
  - b. If a badge is turned in as being found, turn the badge over to the CSM.

## PART D

# **DOCUMENT CONTROL**

Procedures to be followed by security representatives in the handling of classified material:

- 1. Classified material initially delivered to the symposium storage facility by the courier/presenter:
- a. The security representative receiving the classified material will not unwrap the presenter's package, if it is properly annotated. Presenters will be directed to mark the inner wrapper with the name of the presenter, company, and statement, "This package is for the (TITLE OF MEETING)."
  - b. The courier/presenter will be given a receipt for the classified package.
- c. The classified package will be stored in a GSA approved security container. Only the security representatives, and (MEETING LOCATION) cosponsoring office representative will have access to this container. Security personnel will be available to receive classified material from couriers/presenters at (TIME AND DATE).
- 2. Classified materials will be mailed to (NAME AND ADDRESS OF OFFICE). After receipt of all material, it will be mailed to the co-sponsoring representative at the (MEETING LOCATION) where it will be properly stored. Upon arrival of the CSM, the material will be transferred by the CSM to a storage container provided in the meeting facility. Only the CSM or his/her designated representative will have access to the container.
- 3. Only the classified material required for presentation at the morning or afternoon session will be transported from the storage area to the meeting room. At the end of the session the classified material will be returned to the storage area.
- 4. Following the conference, all classified material will be returned to the office of primary responsibility (OPR). Upon receipt, OPR will return material to the presenters organization via registered mail.

#### a. Registered Mail:

(1) Prior to returning classified material to the presenter's organization via registered mail, the CSM or his/her designated representative will verify their facility clearance data through the Defense Investigative Service, Tel: 410-633-4820.

- (2) Classified material, if returned via registered mail, will be done by (i.e. ASC, WL) security representatives IAW Department of Defense (DoD) Regulation 5200.1-R and Air Force Instruction (AFI) 31-401.
- (3) Return by registered mail will require a classified material receipt indicating the contents of the package which will be inserted into the inner envelope.
- (4) The inner envelope will be stamped with the classification of the material and the presenter's address.
- (5) Return by registered mail will have the presenter's address on both the inner and outer envelope.
- (6) On registered mail packages, attach register number and post office return receipt (Form 3811).
- 6. At the end of each conference day an inventory of all classified material will be conducted. Loss or compromise of classified material will be handled IAW Department of Defense (DoD) Regulation 5200.1-R and (AFI) Air Force Instruction 31-401.
- 7. No personal items will be stored in the classified storage facility for conference attendees at any time. However, phones and other pertinent items used by conference organizers will be placed in the room holding the storage container. A cap lock will be placed on the door to the storage area and only the keys will be held by the (**MEETING LOCATION**).

# Appendix E: Sample AFMC Form 191, Foreign Disclosure Decision Worksheet CLASSIFICATION \_\_\_\_\_\_ (When filled in)

NOT RELEASABLE TO FOREIGN NATIONALS, GOVERNMENT CONTRACTORS, OR THEIR REPRESENTATIVES

FOREIGN DISCLOSURE DECISION WORKSHEET						
I CASE IDEN	TIFICATION					
1. CASE NUMBER	Warfare Vulnerability, Surv & Effects and the					
3. FOREIGN NATION(S) INVOLVED	USAF Lase	r Hard	ened Mat 1s	s Program	Review	
All Nations						
II SECURITY CLASSIFIC	ATION INFORM	MATION				
	entre de la companion de la co	SECRET	CONFIDENTIAL	UNCLASSIFIED	EXPORT CONTROLLED	
4. SECURITY CLASSIFICATION OF USAF INFORMATION OR EQUIPMENT TO BE		Х			CONTINUES	
DISCLOSED TO THE FOREIGN COUNTRY  5. CLASSIFICATION OF RELATED USAF PROGRAM		X				
6. ANTICIPATED SECURITY CLASSIFICATION OF INFORMATION OR EQUIPMEN TO BE DEVELOPED Uf this disclosure action is for procurement of goods or ser foreign-owned, controlled or influenced company)		Х		·		
III PROGRAM II	NFORMATION					
7. THE INFORMATION OR EQUIPMENT TO BE DISCLOSED (is being/was) DEVEL PROJECT 2100 TASK	LOPED UNDER PR	OGRAM E	LEMENT NUMBER	PEIIII	F	
IV MILITARY CRITIC						
8. LIST ALL TECHNOLOGIES TO BE DISCLOSED AND IDENTIFY THE CORRESPONDED NUMBER (MCTL is available from foreign disclosure policy office or technology			AL TECHNOLOGIES	LIST (MCTL) PAF	RAGRAPH	
" TECHNOLOGY		<u> </u>	ACTL PARAGRAPH	NUMBER		
Optical Coatings	5.4.1					
Lasers/High Energy Laser (HEL) Beam Prop/						
HEL Beam Target Coupling	6.1.1/6.	1.3/6	.1.4			
HEL Target Effects and Countermeasures/	6.1.5/6.	2 2				
HEL RF Target Effects and Countermeasures	0.1.3/0.	. 2 . 3				
Vulnerability Reduction	12.9.3A	.4g				
Nonlinear Optics Technology/Laser Optical						
Components/Subsystems Technology	13.2/13			-1 h i-ai		
<ol> <li>DESCRIBE THE TECHNOLOGY CRITICAL ELEMENTS TO BE DISCLOSED (Managements) and the properties of the propertie</li></ol>	iuracturing proces	ises or teci	nniques, detailed de	sign, sopnisticat <del>a</del> d	rnow-now,	
High, medium, and low energy laser beam pro	pagation a	and co	nditioning	to include	2	
effects on airframes, spacecraft structures						
countermeasure relating to human eye protec						
composites, specialized ceramics, non-linea and optical limiting technology.	r opticat	ротуш	ers, specia	11260 1111	.ers,	
and opered rimiting technology.						
10. IF THE TECHNOLOGY IS NOT LISTED IN THE MCTL, DO YOU CONSIDER IT	SENSITIVE OR C	RITICAL TO	U.S. NATIONAL S	ECURITY		
NO X YES (If yes, give rationale)	I/A					
While information outside the scope of the MCTL may not constitute a threat to						
national security in and of itself, consolidating such information may allow						
one to construct a sensitive body of knowledge by virtue of such consolidating.						
V EQUIPMENT						
11. LIST AND DESCRIBE ANY EQUIPMENT TO BE DISCLOSED BY NOMENCLATURE, FUNCTION, AND MANUFACTURER  X N/A						
·						
12. THE EQUIPMENT IS SECRET CONFIDENTIAL UNCLASSIFIED EXPORT CONTROLLED N/A						
AFMC FORM 191, NOV 95 REPLACES AFMC FORM 1027, NOV 9	2 WHICH IS OBSO	LETE			PAGE 1 OF 3 PAGES	

CLASSIFICATION

NOT RELEASABLE TO FOREIGN NATIONALS, GOVERNMENT CONTRACTORS, OR THEIR REPRESENTATIVES

COMPUTER MAINTENANCE
13. IS COMPUTER MAINTENANCE OR ACCESS TO COMPUTER REQUIRED
No
VII MISCELLANEOUS
14. IF ANY OF THE INFORMATION OR EQUIPMENT TO BE DISCLOSED IS UNDER THE COGNIZANCE OR JOINT COGNIZANCE OF
ANOTHER US GOVERNMENT AGENCY (Army, Navy, ARPA, NASA, etc.) IDENTIFY THE INFORMATION AND AGENCY
Army, Navy, Air Force - Joint Service Agile Laser Eye Protection
- Additional Army and Navy Eye and Sensor Protection Programs
15. DOES ANY OF THE INFORMATION TO BE DISCLOSED HAVE A CAVEAT OR MARKING THAT LIMITS DISTRIBUTION OR ACCESS, SUCH AS NOT
RELEASEABLE TO FOREIGN NATIONALS ( <i>NOFORM</i> ), RESTRICTED DATA ( <i>RD</i> ), FORMERLY RESTRICTED DATA ( <i>FRD</i> ), WARNING NOTICE, INTELLIGENCE SOURCES AND METHODS INVOLVED ( <i>WNINTEL</i> ), OR SPECIAL ACCESS REQUIRED ( <i>SAR</i> )
NO X YES (If yes, specify)
NOFORN Conference will brief intelligence data.
16. IS ANY OF THE INFORMATION TO BE DISCLOSED PROPRIETARY TO A CONTRACTOR OR PRIVATE PERSON (Copyright, patent, trade secret, etc.)
X NO YES (If yes, identify the information and owner)
17. DOES ANY OF THE INFORMATION TO BE DISCLOSED RELATE TO COMMUNICATIONS SECURITY (COMSEC), TELECOMMUNICATIONS SECURITY  OR INFORMATION SECURITY OR CRYPTOGRAPHIC DEVICES AND SYSTEMS
OR INFORMATION SECURITY OR CRYPTOGRAPHIC DEVICES AND SYSTEMS  X NO YES (Identify)
18 DOES ANY OF THE INFORMATION TO BE DISCLOSED BEVEAU VIII MEDADULTIES DESIGNATES COUNTRY OF COMMITTEE OF
18. DOES ANY OF THE INFORMATION TO BE DISCLOSED REVEAL VULNERABILITIES, DEFICIENCIES, COUNTERMEASURES OR COUNTER-COUNTERMEASURES FOR ANY U.S. WEAPON SYSTEM OR COMPONENT
NO X YES (Specify)
Laser damage thresholds to spacecraft sensors, airborne sensors, human eyes,
laser jamming thresholds relating to same. Various laser filter system
vulnerabilities. Conference will reveal US knowledge of opponent country's
laser threats. Airborne and spacecraft system vulnerabilities will be analyzed.
19. DOES ANY OF THE INFORMATION REVEAL PENETRATION AIDS OR TACTICS OF EMPLOYMENT FOR ANY U.S. WEAPON SYSTEM
Francis Control of the Control of th
NO X YES (Specify) Conference will discuss laser countermeasure system
development, full-scale device development programs for personnel and sensor hardening
against directed energy, directed energy hardening of structural elements such as
windows and domes for tactical air; land, and sea vehicles and munitions and directed
energy hardening of space-based electro-optic systems.
20. IF THE DISCLOSURE ACTION INVOLVES PROCURING GOODS OR SERVICES FROM A FOREIGN-OWNED, CONTROLLED OR INFLUENCED
COMPANY, WOULD THE PROCUREMENT HELP CREATE A NEW TECHNICAL OR INDUSTRIAL CAPABILITY IN THE FOREIGN COUNTRY
NO YES (Provide rationale for your answer)
· ·
Į
AFMC FORM 191, NOV 95 PAGE 2 OF 3 PAGES

**CLASSIFICATION** 

(When filled in)

CLASSIFICATION		(When filled in)
NOT BELEASABLE TO EODEIGN NATI	ONALS GOVERNMENT CONTRACTORS O	D THEIR REPOSERS TATELO

21. IF THE INFORMATION, TECHNOLOGY OR AN ADVERSARY, WHAT WOULD BE THE			DSED	(or deve	loped ii	n a foreign cou	intry)	WERE COMPROMISED	то	
Grave damage to national security via compromise of laser damage thresholds of USAF airborne and space structures, revelation of an array of USAF systems and subsystem vulnerabilities and susceptibilities including optical system weaknesses, limiting filter technology and AF laser eye protection technology parameters.										
VIII PROGRAM MANAGER/PROJEC	T EN	IGINEER COMMENT	rs/sc	IENTIS	T OR	INDIVIDUAL	WHO	IS TECHNICALLY	COGNIZANT	
22. PROVIDE ANY ADDITIONAL COMMENTS	YOU	FEEL ARE APPROPRIA	TE TO	THIS E	ISCLO	SURE ACTION	1	<del> </del>		
This conference will cover laser hardening programs is activities relating to spa system survivability requi and flight test data and re eye and personnel protecti directed energy weapon eff and sensor protection.	ncl cec ren esu on	luding laser craft sensors ments and spe olts to inclue efforts. The	cou s, s ecii ide ide	inter subsy fic A deta confe	meas stem ir F iled renc	ure and os, and of orce systems assessing a will a	cou comp stem nent also	nter-counter onents; vari laboratory, s of current highlight o	measure ous laser field, Air Force ther	
									•	
								•		
23. EXPORT CONTROLLED	х	YES			NO					
24. I RECOMMEND RELEASE					<u> </u>	<del> </del>				
	أييا	YES		X	NO		٠,			
25. NAME Gregg Anderson				NK/GRA GS-1:		OFFICE SYN		PHONE (DSN) 785-0000	DATE 26 Feb 96	
IX FOREIGN DISCLOSURE POLICY OFFIC		COMMENTS / See A	<u> </u>	2014				<u> </u>		
		COMMENTS (SEE A	P1 10	-2011		unty ciassino	======================================	guidance concernin	g your comments) 	
26. CHECK ONE BOX AND FILL IN BLANK LINE THIS DISCLOSURE ACTION		PPROVED BASED ON	MY	DISCLOS	URE A	UTHORITY CO	INTAI	NED IN (Give DDL title	and number,	
a. or other delegated authority	)									
b. THIS DISCLOSURE ACTION number, or other delegated			ON M	Y DISCL	OSURE	AUTHORITY	CONT	AINED IN (Give DDL til	tle and	
THIS DISCLOSURE ACTION	REQ	UIRES APPROVAL OR	DISA	PPROVA	L BY H	Q AFMC OR	HIGHE	R AUTHORITY BECAU	SE ( <i>include</i>	
recommendation for or again	nst ap	oproval)							-	
									<del></del>	
27. NAME		RANK/GRAD	E	OFFICE	SYME	BOL	PHO	NE (DSM)	DATE	
CLASSIFIED BY				L			DECL	ASSIFY ON		
AFMC FORM 191, NOV 95							<u> </u>		PAGE 3 OF 3 PAGE	
A. 110   OHM   131, 1107 33		CLASSIFICAT	ION	30 -		·		(When	filled in)	
				20						